

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

**In re Application of:** Mark W. Kroll; Eric Falkenberg; and Paul A. Levine

**Serial No.:** Unknown

**Art Unit:** Unknown

**Filed:** Herewith

**Examiner:** Unknown

**For:** SYSTEM AND METHOD FOR PROVIDING PREVENTIVE OVERDRIVE  
PACING AND ANTITACHYCARDIA PACING USING AN IMPLANTABLE  
CARDIAC STIMULATION DEVICE

**Docket No.:** A03P1062US03

---

**INFORMATION DISCLOSURE STATEMENT**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as Express Mail No.

**EV100887115US** in an Envelope addressed to:

MAIL STOP - Patent Application

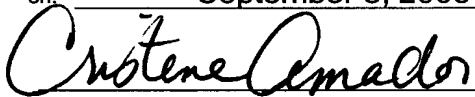
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450,

on: September 8, 2003

MAIL STOP - PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

 9/8/03  
Cristene Amador Date

Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, Applicants submit herewith Form PTO-1449 listing documents believed relevant to the above-identified application. It is respectfully requested that these documents be made of record and that an initialed copy of Form PTO-1449 be returned to the undersigned. A copy of each document is enclosed unless otherwise indicated.

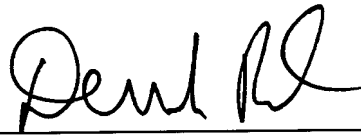
This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional references material to the examination of this application do not exist, or that the citations listed on Form PTO-1449 necessarily constitute prior art to the application.

You are hereby authorized to deduct any fees from Deposit Account 16-0068 in order to secure entry of this Supplemental Information disclosure Statement. A duplicate of this IDS is enclosed.

Respectfully submitted,

9/8/03

Date



Derrick Reed  
Reg. No. 40,138  
Attorney for Applicant(s)

Pacesetter, Inc.  
15900 Valley View Court  
Sylmar, CA 91392-9221  
818/493-2200  
818/362-4795 (fax)

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> A03P1062US03	<b>Serial No.</b> Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant(s):</b> Mark W. Kroll; Eric Falkenberg; and Paul A. Levin	
<i>(Use several sheets if necessary)</i>	<b>Filing Date:</b> Herewith	<b>Group Art Unit:</b> Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	4,686,988	08/18/1987	Sholder	128/419	10/19/1984
	4,969,467	11/13/1990	Callaghan et al.	128/419	08/07/1989
	4,998,974	03/12/1991	Aker	128/419	01/05/1990
	5,109,842	05/05/1992	Adinolfi	128/419	09/24/1990
	5,350,401	09/27/1994	Levine	607/4	03/26/1993
	5,350,410	09/27/1994	Kleks et al.	607/28	11/23/1992
	5,431,689	07/11/1995	Weinberg et al.	607/14	09/23/1993
	5,978,709	11/02/1999	Begemann et al.	607/14	06/16/1998
	6,101,414	08/08/2000	Kroll	607/14	02/11/1998
	6,101,416	08/08/2000	Sloman	607/28	07/29/1998
	6,243,606 B1	06/05/2001	Mann et al.	607/14	08/18/1999
	6,259,950 B1	07/10/2001	Mann et al.	607/28	09/14/1999
	6,263,244 B1	07/17/2001	Mann et al.	607/28	09/15/1999
	6,285,908 B1	09/04/2001	Mann et al.	607/28	08/23/1999

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. A03P1062US03	Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll; Eric Falkenberg; and Paul A. Levin	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	6,311,089 B1	10/30/2001	Mann et al.	607/30	08/20/1999
	6,345,201 B1	02/05/2002	Sloman et al.	607/28	12/14/1999
	6,430,441 B1	08/06/2002	Levine	607/28	01/18/2000
	6,434,428 B1	08/13/2002	Sloman et al.	607/28	07/31/2000
	6,456,881 B1	09/24/2002	Bornzin et al.	607/27	08/02/2000
	6,456,882 B1	09/24/2002	Schloss	607/28	10/06/2000
	6,519,493 B1	02/11/2003	Florio et al.	607/9	12/23/1999
	6,522,925 B1	02/18/2003	Glikerson et al.	607/30	05/13/2000

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. A03P1062US03	Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll; Eric Falkenberg; and Paul A. Levin	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Country	Class / Subclass	Translation Yes/No
	Int'l No.:  EP 0436 517 B1	Int'l Publ. Date:  07.01.1991	Europe	Int'l Classification:  A61N 1/368	

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. A03P1062US03	Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll; Eric Falkenberg; and Paul A. Levine	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## PUBLICATIONS / OTHER ART

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	2001/0020136 A1	09/06/2001	Sweeney et al.	600/515	05/07/2001

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. A03P1062US03	Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll; Eric Falkenberg; and Paul A. Levin	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	4,686,988	08/18/1987	Sholder	128/419	10/19/1984
	4,969,467	11/13/1990	Callaghan et al.	128/419	08/07/1989
	4,998,974	03/12/1991	Aker	128/419	01/05/1990
	5,109,842	05/05/1992	Adinolfi	128/419	09/24/1990
	5,350,401	09/27/1994	Levine	607/4	03/26/1993
	5,350,410	09/27/1994	Kleks et al.	607/28	11/23/1992
	5,431,689	07/11/1995	Weinberg et al.	607/14	09/23/1993
	5,978,709	11/02/1999	Begemann et al.	607/14	06/16/1998
	6,101,414	08/08/2000	Kroll	607/14	02/11/1998
	6,101,416	08/08/2000	Sloman	607/28	07/29/1998
	6,243,606 B1	06/05/2001	Mann et al.	607/14	08/18/1999
	6,259,950 B1	07/10/2001	Mann et al.	607/28	09/14/1999
	6,263,244 B1	07/17/2001	Mann et al.	607/28	09/15/1999
	6,285,908 B1	09/04/2001	Mann et al.	607/28	08/23/1999

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> A03P1062US03	<b>Serial No.</b> Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant(s):</b> Mark W. Kroll; Eric Falkenberg; and Paul A. Levine	
<i>(Use several sheets if necessary)</i>	<b>Filing Date:</b> Herewith	<b>Group Art Unit:</b> Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	6,311,089 B1	10/30/2001	Mann et al.	607/30	08/20/1999
	6,345,201 B1	02/05/2002	Sloman et al.	607/28	12/14/1999
	6,430,441 B1	08/06/2002	Levine	607/28	01/18/2000
	6,434,428 B1	08/13/2002	Sloman et al.	607/28	07/31/2000
	6,456,881 B1	09/24/2002	Bornzin et al.	607/27	08/02/2000
	6,456,882 B1	09/24/2002	Schloss	607/28	10/06/2000
	6,519,493 B1	02/11/2003	Florio et al.	607/9	12/23/1999
	6,522,925 B1	02/18/2003	Glikerson et al.	607/30	05/13/2000

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



[illegible]**Date Considered**

**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).**

Form PTO-1449 (modified)	Attorney Docket No. A03P1062US03	Serial No. Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	Applicant(s): Mark W. Kroll; Eric Falkenberg; and Paul A. Levine	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## PUBLICATIONS / OTHER ART

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	2001/0020136 A1	09/06/2001	Sweeney et al.	600/515	05/07/2001

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).